

# iAP10 Rec'd PCT/PTO 21 DEC 2005

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/556,905 C

Conf. No.: Unknown

Filing Date:

November 15, 2005

Group Art Unit:

Unknown

Applicant(s):

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Unknown

Title:

Michael SCHIFFMANN et al.

Examiner:

Olikilowi

Title:

DEVICE AND METHOD FOR COMMUNICATION WITH THE AID OF AN ENCRYPTED CODE TABLE.

AID OF AN ENCRYPTED CODE TABLE

Attorney Docket:

32860-000960/US

December 21, 2005

Customer Service Window Randolph Building 401 Dulany Street Alexandria, VA 22314 Mail Stop Amendment

## INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants hereby submit an Information Disclosure Statement for consideration by the Examiner.

## I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form PTO-1449 attached hereto.

#### II. COPIES

A. \( \subseteq \) Submitted herewith is a legible copy of (i) each foreign patent; (ii) each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office; (iii) for each cited pending unpublished U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application, or that portion of the application which caused it to be listed including any claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. Any patents, publications or other information which are listed on Form PTO-1449 or on the copies of Form PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

#### U.S. Serial Number

#### U.S. Filing Date

copies of the U.S. patents or U.S. patent application publications which are listed on
the attached Form PTO-1449 are enclosed pursuant to the waiver of 37 C.F.R.
§ 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the
attached Form PTO-1449 are enclosed herewith.
D This is a DOT and lines in the course of the Mexiconal Phase in the United States
D. This is a PCT application in the entry of the National Phase in the United States.
A copy of the International Search Report is attached for the Examiner's information
The documents listed on the International Search Report are listed on the attached
Form PTO-1449 for consideration by the Examiner and for listing on any patent
resulting from this application. Since the International Search Report was from the
US, EPO, or JPO search authorities, copies of these references should have been
supplied to the USPTO under the trilateral agreement and are believed to be in the file
of the above-identified application. (MPEP 1893.03(g))

C. Because the present application was/is being filed after June 30, 2003, no

### III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

- A.  $\boxtimes$  Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
- B. 

  A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
  - See the attached foreign patent office communication from a counterpart foreign application:
  - 2. English translations are provided for:
  - An English abstract has been provided for European Patent No. EP 1059 576 A2; and

The relevancy for the non-patent reference "AS-Interface-Die Lösung in der Automation" can be found in Substitute Specification (previously filed with the application papers on November 15, 2005) on pages 1 and 2, paragraph [0004].

C. The following additional information is provided for the Examiner's consideration:

Please note that the Applicants previously cited the references in their Information Disclosure Statement filed with the original application papers on November 15, 2005; however, because the Applicants did not originally provide copies (since it is a PCT National Stage Application), the Applicants

are again citing and filing these documents, as well as a copy of the European Search Report, for the Examiner's consideration; and

The European Search Report was issued in Applicants' corresponding European Application on October 17, 2003.

A. 

The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive

CROSS REFERENCE TO RELATED APPLICATION(S)

the confidentiality provisions of 35 U.S.C. § 122.

IV.

	Serial No.	Filing Date	Art Unit
V.	THIS IDS IS BEING FILED	UNDER	
	A. 🛛 37 C.F.R. § 1.97(b): (c	check only one box)	
	a continued prosecuti	nths of the filing date of a room application under 37 Corcertification is required.	national application other than C.F.R. § 1.53(d) (37 C.F.R. §
		in an international applica	the national stage as set forth tion (37 C.F.R. § 1.97(b)(2)).
	§ 1.97(b)(3)). No fee Office Action on the C.F.R. § 1.97(c) and if no certification ha	e or certification is requirements has been issued, pleasee the certification under	ion on the merits (37 C.F.R. red. In the event that a first asse consider this IDS under 37 C.F.R. § 1.97(e) below; or, deposit account a fee in the 17(p).
			after the filing of a request for 4. No fee or certification is
	B. 37 C.F.R. § 1.97(c): (c	heck only one box)	
		Allowance under 37 C.F.	Office Action under 37 C.F.R. R. § 1.311, or an action that
	<ol> <li>No certification</li> <li>C.F.R. § 1.17(p).</li> </ol>	; therefore, a fee in the am	ount of \$180.00 is required by

	2.  See the certification below. No fee is required.
	C. 37 C.F.R. § 1.97(d):
	after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.
	1. $\square$ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
VI.	CERTIFICATION UNDER 37 C.F.R. § 1.97(e): (check only one box)
	The undersigned hereby certifies that:
	A each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. § 1.704(d) below in section VII, if applicable; or
	B.   no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
	C. Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.
VII.	STATEMENT UNDER 37 C.F.R. § 1.704(d)
	The undersigned hereby states that:

each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received

VI.

U.S. Application No. 10/556,905 Attorney Docket No. 32860-000960/US Page 5 of 5

by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII.	PAYMENT OF FEES (check only one box)
	A. \( \sum \) No fee is believed to be due in light of the above-provided certification.
	B. $\square$ A check in the amount of \$180.00 is enclosed for the above-identified fee.
	C. $\square$ Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.
invent	The above references are being cited only in the interest of candor and without any sion that they constitute statutory prior art, contain matter which anticipates the ion, or which would render the same obvious, either singly or in combination, to a of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall construed as a representation that a search has been made.
	If it is determined that this IDS has been filed under the wrong rule, the PTO is sted to consider this IDS under the proper rule (with a petition if necessary) and charge propriate fee to Deposit Account No. 08-0750.
1.16 o	Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. §§ or 1.17 to Deposit Account No. 08-0750.
	Respectfully submitted,
	HARNESS, DICKEY & PIERCE, P.L.C.
	By
	P.O. Box 8910 Reston, Virginia 20195 (703) 668-8000
DJD:b	bof
Enclo	sures: PTO-1449 Forms (2 sheets) Documents Foreign Search Report Fee Other: International Search Report and European Search Report



PTO/SB/08a (05-03)
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-	Substitute	for form 1449A/PTC	,			Complete if Known
					Application Number	10/956,905
				CLOSURE	Filing Date	November 15,2005
	STATEMENT BY APPLICANT				First Named Inventor	Michael Schiffmann at al.
					Art Unit	UNKNOWN
	(	use as many shee	ts as	necessary)	Examiner Name	Linknown.
_	Sheet	1	of	2	Attorney Docket Number	32860-000960/US

U.S. PATENT DOCUMENTS								
		Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan			
Examiner Cite Initials * No.1	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Caled Document	Passages or Relevant Figures Appear			
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		FOREIGN PA	ATENT DOCU	MENTS		
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Examiner Initials*	No.1	Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)  Publication Date MM-DD-YYYY		Applicant of Cited Document	Passages or Relevant Figures Appear	T <sup>6</sup>
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	2	EF 1 009 076 A	13.12.2000	Siemens Aktiengesellschaft		

Signature		Cor	isidered		
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				unique citation designation number (optional). 2 See	
				at issued the document, by the two-letter code (WIPO	
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Examiner



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Substitute 1	for form 1449A/PTO				Complete if Known
			01 001105	Application Number	10 556.905
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	November 15, 2005
				First Named Inventor	Michael Schiffmann etc.
				Group Art Unit	unknown
(us	e as many sheets	as n	ecessary)	Examiner Name	MAKNOWIO
Sheet	2	of	2	Attorney Docket Number	32810-000960/45

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue						
		BECKER, R., ET, AL.: "AS-Interface - Die Lösung in der Automation", Februar 2003, AS-INTERNATIONAL					
	3	ASSOCIATION XP002258215, in der Anmeidung erwähnt, Seiten 134 bis 139	***************************************				
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Examiner Signature	/Shin Hon Chen/	Date Considered	08/04/2008	

\*EXAMINET: ritilal if reference considered, whether or not citation is in conformance with MREP 600. Draw fine through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (poleval) 2. Applicant is to place a check mark here if English language Translation is assubed.

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